

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
RO999-023SERIAL NO.
UnassignedLIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENTAPPLICANT:
William Joseph Armstrong et al.

#2

(Use several sheets if necessary)

FILING DATE:
HerewithGROUP:
UnassignedU.S. PTO
09/31/324
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
A	AA 3 6 4 1 5 0 5	02/08/72	Artz et al.	340 710	172 100	
A	AB 4 5 1 1 9 6 4	04/16/85	Georg et al.	364 711	200 202	
A	AC 4 8 4 3 5 4 1	06/27/89	Bean et al.	364 710	200 30	
A	AD 5 1 2 9 0 8 8	07/07/92	Auslander et al.	395 711	200 1	
A	AE 5 2 5 3 3 4 4	10/12/93	Bostick et al.	395 710	275 8	
A	AF 5 2 6 3 1 5 8	11/16/93	Janis	395 707	600 1	
A	AG 5 3 4 5 5 9 0	09/06/94	Ault et al.	395 709	650 1	
A	AH 5 5 7 4 9 1 4	11/12/96	Hancock et al.	395 712	650 220	
A	AI 5 6 0 0 8 0 5	02/04/97	Fredericks et al.	395 710	825 5	
A	AJ 5 6 5 9 7 5 6	08/19/97	Hefferon et al.	395 710	720 200	
A	AK 5 6 5 9 7 8 6	08/19/97	George et al.	395 713	650 100	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

A	AR	VMWare Virtual Platform - Technology White Paper, http://vmware.com/products/virtualplatform.html (1999).
A	AS	IBM Technical Disclosure Bulletin, Kreulen, "OS/2 Raw FileSystem," Vol. 40, No. 05, pp. 177-190, May 1997.
A	AT	IBM Technical Disclosure Bulletin, Baskey et al., "Highly Parallel Coupling Facility Emulator/Router with Shadowed Link Buffers," Vol. 39, No. 12, pp. 123-124, December 1996.

EXAMINER

Thomas A. Dixon

DATE CONSIDERED

10/30/01

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
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(Use several sheets if necessary)

ATTY. DOCKET NO.
RO999-023SERIAL NO.
UnassignedAPPLICANT:
William Joseph Armstrong et al.FILING DATE:
HerewithGROUP:
UnassignedJ0525 U.S. PTO
09/31/324
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
D	BA	5	6	7	1	4	0	5		09/23/97	Wu et al.	395 707	607 7	
D	BB	5	6	7	5	7	9	1		10/07/97	Bhide et al.	395 707	621 205	
D	BC	5	6	8	7	3	6	3		11/11/97	Oulid-Aissa et al.	395 707	604 4	
D	BD	5	6	9	2	1	7	4		11/25/97	Birely et al.	395 707	603 3	
D	BE	5	6	9	2	1	8	2		11/15/97	Desai et al.	395 707	610 10	
	BF													
	BG													
	BH													
	BI													
	BJ													
	BK													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
														YES	NO
	BL														
	BM														
	BN														
	BO														
	BP														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	BR	U.S. Patent Application RO999-021, "Apparatus and Method for Specifying Maximum Interactive Performance in a Logical Partition of a Computer System Independently from the Maximum Interactive Performance in Other Partitions," filed May 19, 1999, Armstrong et al.
	BS	U.S. Patent Application RO999-022, "Processor Reset Generated via Memory Access Interrupt," filed May 19, 1999, Armstrong et al.
	BT	U.S. Patent Application RO999-024, "Event-Driven Communications Interface for Logically-Partitioned Computer," filed May 19, 1999, Armstrong et al.

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(Use several sheets if necessary)

ATTY. DOCKET NO.
RO999-023SERIAL NO.
UnassignedAPPLICANT:
William Joseph Armstrong et al.FILING DATE:
HerewithGROUP:
Unassigned

JC625 U.S. PTO
09/31/824
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
CA						
CB						
CC						
CD						
CE						
CF						
CG						
CH						
CI						
CJ						
CK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
CL							
CM							
CN							
CO							
CP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CR	U.S. Patent Application RO999-023, "Logical Partition Manager and Method," filed May 19, 1999, Armstrong et al.
CS	
CT	

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
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DISCLOSURE STATEMENTAPPLICANT:
William Joseph Armstrong et al.

* 3

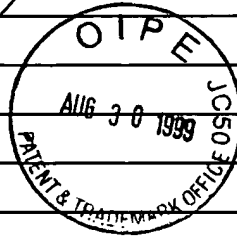
(Use several sheets if necessary)

FILING DATE:
May 19, 1999GROUP:
2777

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
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AH						
AI						
AJ						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
													YES	NO
	AL											TC 2100 MAIL ROOM	RECEIVED SEP - 1 1998	
	AM													
	AN													
	AO													
	AP													

 SEP 21 00 MAIL ROOM
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Abstract for JAPIO Application No. 94-103092, T. Imada et al., April 15, 1994, "Virtual Computer System."
AS	Abstract for JAPIO Application No. 92-348434, T. Imada et al., December 3, 1992, "Virtual Computer System."
AT	Inspec Abstract No. C9408-6110P-022, A. B. Gargaro et al., March 1994, "Supporting Distribution and Dynamic Reconfiguration in AdaPT."

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Thomas A. Dyer

DATE CONSIDERED

10/30/07

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FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
R0999-023SERIAL NO.
09/314,324LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
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(Use several sheets if necessary)

APPLICANT:
William J. Armstrong et al.FILING DATE:
May 19, 1999GROUP:
2777

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
<i>D</i>	AA	5	5	6	6	3	3	7	10/15/96	Steven J. Szymanski et al.	395 710	723 260	
<i>D</i>	AB	5	8	2	8	8	8	2	10/27/98	Mark Andrew Hinckley	395 709	680 318	
<i>D</i>	AC	5	8	4	5	1	4	6	12/01/98	Osamu Onodera	395 710	822 2	
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
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	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

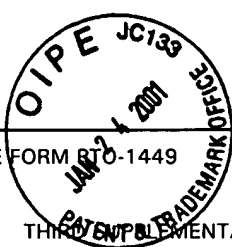
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Thomas A. Dyer

DATE CONSIDERED) 5/30/01

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SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/91 (R0999-023)SERIAL NO.
09/314,324THIRD SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William Joseph Armstrong et al.

5

FILING DATE
May 19, 1999GROUP
2777

(37 CFR 1.98(b))

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>D</i>	A.A	5	6	8	4	9	7	4	11/4/97	Onodera	395 711	412 202	3/13/95
<i>D</i>	A.B	5	9	2	3	8	9	0	7/13/99	Kubala et al.	395 712	800 011	7/30/97
<i>D</i>	A.C	5	9	9	6	0	2	6	11/30/99	Onodera et al.	710	3	4/4/97
<i>D</i>	A.D	6	1	4	8	3	2	3	11/14/2000	Whitner et al.	709	105	12/29/95
	A.E												
	A.F												
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	
	A.S	
	A.T	

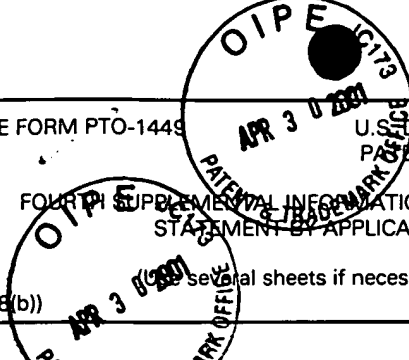
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Thomas A. Dixon

DATE CONSIDERED

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SUBSTITUTE FORM PTO-1449 (MODIFIED) FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. IBM/91 (R0999023)	SERIAL NO. 09/314,324
	APPLICANT William Joseph Armstrong et al.		Confirmation No. 5010
	FILING DATE May 19, 1999		GROUP 2777



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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	Technology Center SUBCLASS	IF APPROPRIATE
D	A.A	5	3	6	5	5	1	4	11/15/94	Hershey et al.	370	17 241	3/1/93
D	A.B	5	4	4	6	9	0	2	8/29/95	Islam	385 717	700 3	7/14/93
D	A.C	5	5	2	6	4	8	8	6/11/96	Hershey et al.	395 709	200-2 242	1/26/94
D	A.D	5	8	1	9	0	6	1	10/6/98	Glassen et al.	395 709	400 1	7/25/94
D	A.E	6	1	7	3	3	3	7	1/9/01	Akhond et al.	709	318	3/8/96
D	A.F	6	1	9	9	1	7	9	3/6/01	Kauffman et al.	714 704	26	6/10/98
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	
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EXAMINER <i>Thomas A. Dyer</i>	DATE CONSIDERED 10/30/01
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